

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re tl	he Application of) Group Art Unit:
Yongo	chang Qiu et al) Examiner:
Appln.	. No.)
Filed:	October 22, 2001)
For:	ISOTOPE-CODED IONIZATION- ENHANCING REAGENTS (ICIER) FOR HIGH-THROUGHPUT PROTEIN IDENTIFICATION AND QUANTITATION USING MATRIX- ASSISTED LASER DESORPTION IONIZATION MASS SPECTROMETRY) HAND-DELIVERY) January 18, 2002) '))

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO/SB/08A/B document listing and this paper pursuant to 37 CFR §1.56 and § 1.97-1.98. Form PTO/SB/08A/B is attached and copies of the references are enclosed herewith.

This Information Disclosure Statement is submitted within three months of the filing date of this application, and prior to receipt of an Office Action on the merits. Therefore, no fees are believed due. However, the Director of the U. S. Patent and Trademark Office is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees paid on the filing, or during prosecution of this application to Deposit Account No. 08-3040.

The Examiner is respectfully requested to consider the documents identified in this paper and in the attached Form PTO/SB/08A/B during the course of the examination of this application.

Respectfully submitted,

HOWSON AND HOWSON Attorneys for the Applicants

Cathy A Kadrof

Registration No. 33,980

Spring House Corporate Center

Box 457

Spring House, PA 19477

Telephone: (215) 540-9210 Telefacsimile: (215) 540-5818

Substitute fo	or Form 1449A/F	то			Complete if Known		
INFORMA	ATION DISCLO	SURE		Application Number	10/044,708		
SIATEME		ny sheets as ne	ecessary)	Filing Date	October 22, 2001		
				First Named Inventor	Yongchang Qiu et al		
				Group Art Unit	(743		
				Examiner Name	Wallenhorst		
Sheet	1	of	2	Attorney Docket Number	GII5412-1AUSA		

				U.S. PATENT DOCUMEN	NTS	
Examiner	Cite	U.S. Patent I	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*	No.1	Number	Kind Code ² (if known)	Cited Document	MM-DD-YYYY	rassages of Relevant Figures Appear
mw	AA	4,977,320	Bl	S. Chowdhury	12/11/1990	
mw	AB	5,245,186	В1	B. Chait	09/14/1993	
5	AC	5,288,644	В1	R. Beavis	02/22/1994	
MW	AD	5,453,247	B 1	R. Beavis	09/26/1995	
mw	AE	5,643,798	B1	R. Beavis	07/01/1997	
mw	AF	5,792,664	B1	B. Chait	08/11/1998	

				FOR	EIGN PATENT DOCUMENTS			
Examiner Cite Initials No.'			Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	T ⁴
		Office)		d Code ³ known)		MM-DD-YYYY	Passages or Relevant Figures Appear	<u>:</u>
mw	AL	wo	WO93/24834	Al	B. Chait	12/09/1993		
mw	AM	wo	WO91/04781	A1	R. Beavis	04/18/1991		
mw	AN	wo	WO00/11208	A1	R. Aebersold	03/02/2000		
							<u> </u> 	

Examiner Signature	Maureen Wallenhorst	Date Considered	9-22-04

[•] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

Substitute for	r Form 1449A	PTO		Complete if Known		
INFORMAT	TION DISCL NT BY APPL	OSURE		Application Number	W/044,708	
SIAIEME		any sheets as n	ccessary)	Filing Date	October 22, 2001	
				First Named Inventor	Yongchang Qiu et al	
				Group Art Unit	1743	
				Examiner Name	Wallenhowst	
Sheet	2	of	2	Attorney Docket Number	GII5412-1AUSA	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiners Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
MW	AR	E. KRAUSE et al, "The Dominance of Arginine-Containing Peptides in MALDI-Derived Tryptic Mass Fingerprints of Proteins", Analytical Chemistry, 71(19)4160-4165 (October, 1999)	
mw	AS	M. MANN, "Quantitative Proteomics?", Natue Biotechnology, 17:954-955 (October, 1999)	
m	AT	S. SECHI et al, "Modification of Cysteine Residues by Alkylation. A Tool in Peptide Mapping and Protein Identification", Analytical Chemistry, 70(24):5150-5158 (December, 1998)	
mW	AU	S. GYGI et al, "Quantitative Analysis of Complex Protein Mixtures Using Isotope-Coded Affinity Tags", Nature Biotechnology, 17:994-999 (October, 1999)	
ium	AV	D. FENYO et al, "Protein Identification Using Mass Spectrometric Information", Electrophoresis, 19:998-1005 (1998)	

Examiner Signature	maures Wallenhoust	Date Considered	9-27-04

[•] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

APR 1 1 2002



IN THE CAPTED STATES PATENT AND TRADEMARK OFFICE

In re the Application of) Group Art Unit:
Yongchang Qiu et al) Examiner:
Appln. No. 10/044,708) Alga-
Filed: October 22, 2001	
For: ISOTOPE-CODED IONIZATION- ENHANCING REAGENTS (ICIER) FOR HIGH-THROUGHPUT PROTEIN IDENTIFICATION AND QUANTITATION USING MATRIX- ASSISTED LASER DESORPTION IONIZATION MASS SPECTROMETRY) April 11, 2002)))))))

Assistant Commissioner for Patents Washington, DC 20231

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO/SB/08B document listing and this paper pursuant to 37 CFR §1.56 and § 1.97-1.98. Form PTO/SB/08B is attached and a copy of the cited reference is enclosed herewith.

This Second Information Disclosure Statement is submitted more than three months from the filing date of this application, but prior to receipt of an Office Action on the merits. Therefore, no fees are believed due. However, the Director of the U. S. Patent and Trademark Office is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees paid on the filing, or during prosecution of this application to Deposit Account No. 08-3040.

CERTIFICATE UNDER 37 CFR §1.8(a)

hereby certify that this co	rrespondence is being deposited with the United States
ostal Service with sufficient	ent postage as first class mail in an envelope addressed to
he Assistant Commissione	er for Patents, Washington, DC 20231 on April 11, 2002
Signature	ex in the second
Typed or printed name	Debra N. Gerstemeier

Listed below is a previously filed, co-pending U. S. patent application. This patent application and the present application are commonly owned.

(1) U. S. Patent Application No. 10/045,170, filed October 22, 2001

The Examiner is respectfully requested to consider the document identified in this paper and in the attached Form PTO/SB/08B during the course of the examination of this application.

Respectfully submitted,

HOWSON AND HOWSON Attorneys for the Applicants

y ___

Cathy AJKodroff

Registration No. 33,980

Spring House Corporate Center

Box 457

Spring House, PA 19477 Telephone: (215) 540-9210

Telefacsimile: (215) 540-5818

1.1



Please type a plus sign (+) inside this box $\rightarrow \Box$

PTO/SB/08B (08-00)

Substitute f	for Form 1449A/PT	ro			Complete If Known			
	ATION DISCLOS			Application Number	10/044,708	1 00		
STATEMI	STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 22, 2001	OPY Valo			
				First Named Inventor	Yongchang Qiu et al	AL PR		
			·	Group Art Unit	1743	PERS		
				Examiner Name	Wallenhorst	1 0 "		
Sheet	1	of	1	Attorney Docket Number	GII5412-1AUSA			
		1	·					

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiners Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
MM	AW	Y. QIU et al, "Acid-Labile Isotope-Coded Extractant (ALICE) and its Use in Quantitative Mass Spectrometric Analysis of Protein Mixtures", U. S. Patent Application No. 10/045,170, filed October 22, 2001	
		·	

Examiner Wayage (A)alle Lorest	Date Considered	9-22 04
Signature VV Mules Walles horst	Considered	1 47-01

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Docket No.: 9550-019-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: YONGCHANG QIU, ET AL.

GROUP ART UNIT: 1642

SERIAL NUMBER: 10/044,708

EXAMINER: UNASSIGNED

FILED: OCTOBER 22, 2001

ISOTOPE-CODED IONIZATION-ENHANCING REAGENTS (ICIER) FOR HIGH-THROUGHPUT PROTEIN CIDENTIFICATION AND QUANTITATION USING MATRIX-ASSISTED LASER DESORPTION IONIZATION MASS SPECTROMETRY

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents PO BOX 1450

ALEXANDRIA, VA 22313-1450

Applicant(s) wish(es) to disclose the following information.

REFERENCES

Applicant(s) wish(es) to make of record the documents listed on the attached International Search Report (except AJ, AK and AL which are already of record) and Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-Englishlanguage documents.

RELATED CASES

Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application. \Box o
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above. п
- п without fee and with a new CPA application.
- without fee and with a Request for Continued Examination. \Box
- with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise \mathbf{a} closes prosecution (to the knowledge of the undersigned).
- П with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER RUDNICK) LLP

Steven & Kelber

Atterney of Record Registration No. 30,073

Perry E. Van Over Registration No. 42,197

1200 Nineteenth Street, N.W. Washington, DC 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085

Form PTO 1449			DOCKET NO.	SERIAL	SERIAL NO.					
(Modified)	ا <u>سنا</u>			9550-019-27	10/044,7	10/044,708				
PECIT	Or	FFICE		APPLICANT		<u></u>				
0 1 2004 25				YONGCHANG QIU, ET AL.						
LIST OF REFER	LIST OF REFERENCES CITED BY APPLICANT			FILING DATE	GROUP	GROUP ART UNIT				
TRADE (Use Se	everal	Sheets if Necessa	лу)	OCTOBER 22, 2001	OCTOBER 22, 2001					
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS				
	AA									
	AB									
	AC									
			FOR	EIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY			TRANS! YES	LATION NO		
mw '	AD	WO 02/48717	6-20-2002	WIPO						
	AE									
	AF									
F	AG									
F	AH									
·		OTHER REJ	FERENCES ((Including Author, Title, Date, Pertin	nent Pages, E	tc.)				
mu	AI	Mckendrick, et al., "Rapid Mass Spectrometric Determination of Preferred Irreversible Proteinase Inhibitors in Combinatorial Libraries", International Journal of Mass Spectrometry, 176(1-2):113-124 (1998).								
	AJ	Sechi et al., "Modification of Cysteine Residue By Alkylation. A Tool in Peptide Mapping and Protein Identification", Analytical Chemistry, American Chemical Society, 70(24):5150-5158 (1998). Previously cited.								
A	AK-	Gygi et al., "Quantitative Analysis of Complex Protein Mixtures Using Isotope-Coded Affinity Tags", Nature Biotechnology, 17(10):994-999 (1999). Previously cited.								
tout	AL	Krause et al., "The Dominance of Arginine-Containing Peptides in MALDI-Derived Tryptic Mass Fingerprints of Proteins", Analytical Chemistry, 71(19):4160-4165 (1999). Previously cited.								
mu A	AM	Brancia, et al., "Improved Matrix-Assisted Laser Desorption/Ionization Mass Spectrometric Analysis of Tryptic Hydrolysates of Proteins Following Guanidination of Lysine-Containing Peptides", Rapid Communications in Mass Spectrometry, 14(21):2070-2073 (2000).								
MW. A	AN	Kratzer, et al., "Suppression Effects i Enzymatic Peptide Ladder Sequencing Using Ultraviolet - Matrix Assisted Laser Desorption/Ionization - Mass Spectrometry", Electrophoresis, 19(11):1910-1919 (1998).								
	Jan		llenhors		DATE CONS		9-23	2-04		
*EXAMINER: In if not in conformar	uitial if	f reference is considered.	sidered, wheth	her or not citation is in conformance y of this form with next communicati	with MPEP (509; draw li	ne through	citation		

4/